

**State Innovation Models:  
Round Two of Funding for Design and Test Assistance  
Cooperative Agreement**

**Application Review - Budget Negotiation Questions  
September 22, 2014**

**Applicant:** CT Office of the Healthcare Advocate

**Budget Questions**

*\*Please submit a revised SF-424A and Budget Narrative which addresses all of the questions/concerns outlined below. **Note:** This request for additional information is not a guarantee of funding.*

**Budget Worksheet/SF-424A**

Provide a revised SF-424 and SF424A with the total estimated federal funds

**Personnel - \$6,023,598**

OK

**Fringe Benefits - \$4,654,433**

OK

**Travel - \$58,229**

OK

**Equipment - \$15,400**

OK

**Supplies - \$81,857**

OK

**Contractual - \$52,706,476**

1. Health Information Technology (\$1,550,071) – OK

- The proposal should clearly state whether the positions dedicated to SIM are new staff, contract or current State employees

Response: The proposed positions are new.

2. Program Evaluation (\$1,033,193) – OK

- The proposal should clearly state whether the positions dedicated to SIM are new staff, contract or current State employees.

Response: Dr. Aseltine is supported by a mix of state funds and soft money. He will reduce his soft money supported percent effort for other projects in order to assume SIM funded responsibilities. All other proposed UConn employees is included in the evaluation budget is a current state employee on “soft” money meaning they are not funded through the state appropriations process. These CPHHP employees are funded by contract, grant or consulting fees, and are routinely assigned to new projects as appropriate. UConn does not anticipate hiring new employees, and if the current employees were not funded by the SIM grant, UConn would be seeking other projects or could potentially be forced to lay these employees off.

3. Inter-Professional Education (\$258,077) – OK

- The proposal should clearly state whether the positions dedicated to SIM are new staff, contract or current State employees.

Response: The breakout for personnel is as follows:

Senior	Salary	Appt	Months Effort	% Effort
Bruce Gould, MD PI*	\$ 181,500	12	0.12	1.00%
University Director, P. ClarkDufner*	\$ 104,055	12	1.20	10.00%
Educational & Development Specialist, TBN*	\$ 65,770	12	6.00	50.00%
Program Administrator, TBN*	\$ 69,430	12	1.20	10.00%
Admin. Prog. Asst. II, TBN*	\$ 48,193	12	2.40	20.00%

Please note: The dollars requested will directly support the IPE initiative which does not currently exist in the scope of work of the personnel identified. The current State employee FTE dedicated to the SIM is listed in far right column (1% Bruce Gould, 10% Petra Clark-Dufner). Dr. Bruce Gould is the PI for the IPE Project. These individuals are supported by a mix of state funds and soft money. They will reduce their soft money supported percent effort for other projects in order to assume SIM funded responsibilities. The other positions are to be named and are NOT current State employees (Educational & Development Specialist (50%), Program Administrator overseeing fiscal monitoring and contracts (10%), and Administrative Program Assistant II (20%). The effort for the latter position was formerly noted as attached to an existing state employee, Ula Kubrynski, however, that is no longer the case. This will be a new position. The hiring process would follow the requirements as set forth by the State/UConn Health.

4. Community Health Worker (\$101,088) - OK

- The proposal should clearly state whether the positions dedicated to SIM are new staff, contract or current State employees.

The breakout of personnel follows:

Senior	Salary	Appt	Months Effort	% Effort
Bruce Gould, MD PI*	\$181,500	12	0.60	5%
Project Administrator, TBN*	\$69,430	12	1.20	10%
Program Coordinator, TBN*	\$58,178	12	1.68	14%

Please note: The dollars requested will directly support the CHW initiative which does not currently exist in the scope of work for the personnel identified. The current State employee FTE dedicated to this initiative is in the far right column. Dr. Bruce Gould is the PI on the initiative and a current state employee. Dr. Gould is supported by a mix of state funds and soft money. He will reduce his soft money supported percent effort for other projects in order to assume SIM funded responsibilities. The other positions (for a total FTE of .24) are to be named and are NOT current State employees (Project Administrator and Program Coordinator). The hiring process would follow the requirements as set forth by the State/UConn Health.

**When the contractors are formally selected, provide the missing required information for the following contracts:**

1. Population Health - \$1,652,00
2. Medical QISSP – \$7,400,088
3. AMH Glide Path - \$10,866,875

4. Targeted Technical Assistance - \$4,875,000
5. Care Experience Survey - \$617,400
6. Health Information - \$14,380,983
7. Community Health Worker - \$992,998
8. Inter-Professional Education – 903,402
9. Teaching Health Center - \$822,662
10. VBID - \$325,576
11. Consumer Engagement - \$406,368
12. Program Evaluation - \$4,963,125
13. Innovation Awards (\$4,500,000) –All subgrant programs must be in compliance with HHS Grants Policy Statement (section II-78) and submitted to CMS for prior approval.
14. When the contracts are finalized, provide a summary table that outlines the indirect cost per project year for each contractor/consultant in the format below.

**Sample Contractual Budget Indirect Cost**

<b>Contract/Consultant</b>	<b>Rate</b>	<b>Year1</b>	<b>Year 2</b>	<b>Year 3</b>	<b>Year 4</b>	<b>Total</b>
CMS	10%	\$10,000	\$9,000	\$8,000	\$7,000	\$34,000

**Other- \$18,196**

OK

**Indirect**

N/A